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M. M.

PATENT
ATTORNEY DOCKET NO.: GENE1400-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Zhang and Hofmann
Application No.: 09/966,390
Filed: September 27, 2001
Title: METHOD AND APPARATUS FOR ELECTRICALLY ASSISTED
TOPICAL DELIVERY OF AGENTS FOR COSMETIC APPLICATIONS

Art Unit: 3762
Examiner: Unassigned

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed October 29, 2001,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (2 pages);
2. An executed copy of the Declaration For Patent Application from parent application (U.S. Serial No. 09/352,818) (3 pages);
3. Substitute Drawings (18 pages);
4. Petition for One (1) Month Extension of Time (2 pages);
5. Check No. 496812 in the amount of \$65.00 and
Check No. 500191 in the amount of \$55.00; and
6. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are
being deposited with the United States Postal Service as first class mail
on this date, **January 29, 2002**, in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231.

Cecilia Tobin

(Name of Person Mailing Paper)

Cecilia Tobin **January 29, 2002**
(Signature) (Date)

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Page 2

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee is calculated as follows:

	Number Filed			Number Extra		Rate			Fee	
						Large Entity	Small Entity		Large Entity	Small Entity
Total Claims	80	-20	=	60	X	\$18	\$09	=	\$.00	\$.00
Independent Claims	3	-3	=	0	X	\$84	\$42	=	\$.00	\$.00
Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No						\$280	\$140		\$.00	\$.00
						BASIC FEE			\$740.00	\$370.00
						TOTAL FEE			\$.00	\$.00

Enclosed is Check No. 496812 in the amount of \$65.00 for the surcharge fee for missing parts of the application and Check No. 500191 in the amount of \$55.00 for the One (1) Month Extension of Time fee. The Commissioner is hereby authorized to charge any additional fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: January 29, 2002



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Page 1 of 2

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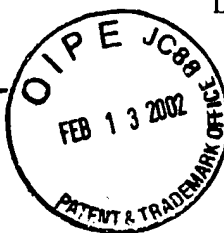


UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,390	09/27/2001	Lei Zhang	GENE1400-2

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CONFIRMATION NO. 7376

FORMALITIES LETTER



OC000000006989429

Lisa A. Haile, J.D., Ph.D.
GRAY CARY WARE & FREIDENRICH LLP
Suite 1600
4365 Executive Drive
San Diego, CA 92121-2189

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/19/2002 BSAYAS11 00000117 09966390

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE